



ACCOUNT OPENING FORM

Individual Account Opening Form



www.assetium.com



1. PERSONAL INFORMATION/ACCOUNT MANDATE

Mandate authorisation/Combination rule: Sole Signatory Either to Sign Both to Sign (Please tick as appropriate)

Signatories:

Title Surname

First Name Other Name

Marital Status (Please tick) Single Married Others (please specify) _____ Gender F M

Residential Address

Date of Birth

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

 Occupation

Nationality Country of Birth

State of Origin Resident permit No. (for non Nigerian)

L.G.A. Permit Issue Date.

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Email Address Permit Expiry Date.

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Do you carry other country's passport other than Nigeria? Yes No Mobile No

Is this your first time of opening an account? Yes No BVN.

Signature _____ Date

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



2. PERSONAL INFORMATION/ACCOUNT MANDATE (for joint signatory only)

Mandate authorisation/Combination rule: Sole Signatory Either to Sign Both to Sign (Please tick as appropriate)

Signatories:

Title Surname

First Name Other Name

Marital Status (Please tick) Single Married Others (please specify) _____

Residential Address

Date of Birth

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

 Occupation

Nationality Country of Birth

State of Origin Resident permit No. (for non Nigerian)

L.G.A. Permit Issue Date.

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Permit Expiry Date.

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Do you carry other country's passport other than Nigeria? Yes No Mobile No

Is this your first time of opening an account? Yes No BVN.

Signature _____ Date

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Relationship to signatory above _____

3. DETAILS OF NEXT OF KIN

Surname Other Name
 First Name Gender F M Title (Specify)
 Date of Birth

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

 Relationship
 Mobile Number 1 Mobile Number 2
 E-mail Address
 Contact Details Same as above
 House Number Street Name
 Nearest Bus Stop/Landmark
 City/Town Local Govt. Area
 State

BANK INFORMATION

Account Name
 Bank Name
 Account number

Signature _____ Date (DD/MM/YY) _____

FOR OFFICIAL USE ONLY

ACCOUNT OPENED BY:

Name
 Signature:.....
 Name
 Signature:.....

ADDRESS VERIFICATION CARRIED OUT BY:

Name
 Signature:.....
 Name
 Signature:.....
 COMMENT(S)(Address description and result finding):

ACCOUNT OPENING AUTHORIZED / APPROVED BY:

Name
 Signature:.....

These terms and conditions (the "Terms") shall be effective between you and each of the companies forming part of the Assetium Capital Management Limited from the date that you accept these terms or the date on which we commenced business with you, whichever is the earlier. The Terms are legally binding and (subject to amendment of which we will notify you) will apply on the basis of our relationship with you.

We may provide certain services to you by means of electronic links or systems and where this is the case the provision of such services will be subject to the terms or any agreement(s) and disclaimer(s) set out on such electronic links or systems or otherwise notified to you. Without limiting the circumstances in which such agreement(s) and disclaimer(s) are binding on you, they are made binding on you by these Terms. These Terms will also supplement such agreement(s) or disclaimer(s).

These Terms together with any other agreement, notice, disclaimers, disclosure or other special terms and conditions, shall together constitute the terms of business which shall govern the provision by us to you of any regulated, non-regulated or ancillary activity.

SERVICES

The Assetium Capital Management Limited provides various financial services including, without limitation, trading and dealing in all kinds of financial products, investment advisory and investment banking services and the arrangement of deal in relation to all kinds of financial products. We can also provide other service if so agreed between you and us. Unless we agree otherwise with you, we shall not be responsible for managing or supervising the management of any of your financial products.

OPERATION OF THE INVESTMENT ACCOUNT

Authority to operate
You warrant that you have the necessary authority to open and operate the investment account. Assetium shall be entitled to rely on, and act in accordance with, instructions received from any person authorized or purportedly authorized to access or use the investment account by virtue of any authority, resolution, mandate or power of attorney ("authority") provided by you or your nominee to Assetium. You can however cancel such authority in writing, but Assetium must have acknowledged such cancellation before it will take effect.

INSTRUCTIONS

You agree that instructions must be received by Assetium before close of business (17:00) on any business day in order for a transaction to be proceeded on the same day. Instructions received on a weekend will be taken as received on the following business day and treated accordingly. You are responsible for ensuring that Assetium is in receipt of any instruction and that instructions are clear and intelligible. Except to the extent that Assetium acted with gross negligence or with fraudulent intent, Assetium will be liable for your failure to comply with this clause.

You agree that Assetium shall be entitled, but is not obliged, in its discretion to confirm or authenticate any instruction which is not giving online prior to effecting any transaction. Assetium shall not be liable for any delay in giving effect to any instruction as a result of exercising its right to confirm or authenticate an instruction, provided that Assetium did not act with gross negligence or fraudulently.

PAYMENTS

Assetium will not effect any payments from the investment account other than to your designated bank account(s) as specified on the application form, or otherwise as notified to Assetium in writing and signed by you. Provided that Assetium did not act with gross negligence or fraudulently, Assetium shall not be liable should it refuse to effect a payment otherwise Limited.

You have the right to access your personal information held by Assetium. Assetium shall grant you such access within a reasonable time after receiving a written request for access.

INTEREST PAYMENT

Interest on investment shall be paid periodically (monthly, quarterly, annually) or with the investment principal at maturity

MINIMUM INVESTMENT PERIOD

Apart from our mutual funds, all other investments products have a minimum investment period of 3 months

Payment in respect of the application must be transferred into the designated offer proceeds account with the following details :

Account Number : 0096118565

Account Name: Assetium Capital Management LTD

Bank Name: Sterling BANK

Liquidation Notice

Total termination of investment prior to expected period requires 24-hours liquidation notice.

PARTIAL WITHDRAWAL

Partial withdrawal of funds is allowed.

PREMATURE TERMINATION

Premature termination will incur the following charges:

Within 25% of the investment tenor- all of interest incurred Above 25% but within 50% of the investment tenor- 50% of interest incurred

Above 50% but within 100% of the investment tenor- 25% of interest incurred

WITH-HOLDING TAX (WHT) DEDUCTION

All payments will be subject to the regulatory 10% WHT tax deduction.

INVESTMENT ROLL OVER

Upon maturity of investment and in the absence of clear or express instructions, the principal and any accrued interest will be rolled over at a prevailing rate for a further three (3) months.

DEALING & ADVISE

You may instruct us in writing (including electronically), to dispose of or acquire any particular financial product (Which request we shall not be obliged to acknowledge or accept). Subject to these terms and the relevant Assetium best execution arrangements (if any), we shall use all reasonable endeavors to carry out your request but shall be under liability for any loss or expense you incur by reason of any delay or any change in market conditions before the transaction is affected.

We may give you general advise orally or in writing (including electronically). We need not tell you the basis for the advice. Where we do provide market information, general advice or recommendations, we give no representation, warranty or guarantee as to its accuracy or completeness, as to any tax consequences or as to its suitability for you or persons in your financial position or with your financial goals.

your financial goals. Furthermore, you acknowledge that the information or general advice provided to other clients may be different from information or advice given to you due to individual analysis of fundamental and technical factors by different personal and that such information may not be consistent with any proprietary investments of Assetium or our associates, directors, employees or agent.

INDEMNITY

You hereby request and authorize as well as any of its affiliates or subsidiaries to accept and act upon any instructions, communications and documents you send electronically by e-mail and letter issues according to your mandate.

You agree that your e-statement can be sent at your risk to the correspondence address/email that you have provided.

You also authorized Assetium as well as any of its affiliates or subsidiaries to honor redemption requests and instruction sent by electronic mail in respect of your investment with Assetium.

You hereby irrevocably to identify Assetium and hold it harmless from and against all the cost including without limitation

than in accordance with this clause.

You warrant that the designated bank account(s) details supplied to Assetium from time to time are true and correct. You acknowledge that Assetium is not liable, nor is it obliged, to verify or authenticate any bank account details supplied by you or your duly authorized signatory/ies. Except to the extent that Assetium acted with gross negligence or fraudulently, you indemnify and hold Assetium harmless against any loss, damage, expense or claim which you or Assetium may sustain or incur as a result of a payment/s made in circumstances where the bank account details are not corrected.

THIRD PARTY INDEMNITY

Notwithstanding anything contained in this terms and conditions, Assetium may in its sole discretion permit payment to a third party, subject to you waiving any claim you may have or acquire against Assetium as a result. In consideration of Assetium honoring your request and instruction to effect payment from your investment account to a third party designated by you, you hereby undertake to indemnify Assetium and hold it harmless from and against all cost (including without limitation legal fees and expenses, losses, liabilities, claims, damage and proceedings) whatsoever that Assetium may suffer or incur or that may arise as a result of such third party payment, party payment.

STATEMENTS

Assetium shall provide periodic statements to you, which will, amongst others:

a. show all transactions relating to the investment account; and b. show all interest credited, and charges debited, to the investment account.

You undertake to monitor and verify the correctness of our investment account details on a regular basis and to inform Assetium immediately of any errors or inconsistencies in the details or arising from the details. Unless you notify Assetium of any error or inconsistency on any statement issued in respect of the investment account within 60 days or the date of issue of the statement, such statement shall be deemed to be an accurate and correct record of your activity on the investment account.

Any record of deposit to the investment account is subject to verification by Assetium and should there be a discrepancy between your records and Assetium records, Assetium shall constitute sufficient proof of the correctness of Assetium's contentions and the onus shall be on you to prove otherwise.

PERSONAL INFORMATION

You acknowledge and expressly consent that Assetium may:

a. verify information provided by you to Assetium in this application or in any other documentation and generally making whatever enquiries it deems necessary from any source whatever;

b. process your personal information to conclude the agreement and for purposes of maintaining the investment account, providing services to you and complying with your instructions;

c. disclose your personal information contained in the application form, and any other documentation in relation to any of your investment accounts to other entities in The Assetium Capital Management Limited, if you have applied for further accounts, products or services at any other entity within the Assetium Capital Management Limited in order for such entities to assess and monitor your application and in order to comply with "know your customer" legislation in that jurisdiction;

d. process and disclose your personal information for purpose of the prevention, detection and reporting of fraud and criminal activities, the identification of the proceeds or unlawful activities and the combating of money laundering activities;

e. process and report on your personal information to comply with an obligation imposed by applicable laws on Assetium and any other entity within the Assetium Capital Management

harmless from and against all cost including without limitation legal fees and expenses, losses, liabilities, claims, damages and proceedings) whatsoever that Assetium may suffer or incur or that may arise as a result of Assetium's accepting or acting upon such electronic instructions or communication. Furthermore, you here irrevocably release Assetium from all liability that any email or letter is not received, or incomplete, unauthorized, or delayed for any reason.

In the event that you suffer any loss as a result of your honoring such e-mail instruction, etc, you shall have no claim or redress against us, whatsoever

You acknowledge and agree that:

a) it is not possible for Assetium to check the authenticity of all email messages that claim to come from you.

b) Assetium may, notwithstanding this reason and indemnity, require that any instruction given by you be given in accordance with the signing arrangements of the account(s), and Assetium may at its sole discretion request written or any other form of confirmation of any instruction

c) Assetium will not be liable for any loss (consequential or otherwise) incurred by you as a result of Assetium acting or declining to act (wholly or in part) on instructions which Assetium believes to have been given in conformity with the above, whatever or not such instruction have been so given. The fact that any instruction may later be in any way false, incomplete, inaccurate, delayed, erroneous, unauthorized or otherwise not authentic, should not be an impediment to the rights of the Assetium hereunder.

d) Assetium may at any time on written notice sent me/us withdraw from these arrangements arrangements regarding accepting instructions.

e) This email indemnity remain an obligation to you subject to changes notified by Assetium from time to time.

FORCE MAJEURE

In the event of any failure, interruption or delay in performance of our obligations resulting from acts, events or circumstances not reasonable within our control, including but not limited to industrial disputes, acts or regulations of any government or authorities, or breakdown, failure or malfunction of any telecommunications or computer service, we shall not be liable or have any responsibility of any kind for any loss or damage thereby incurred or suffered by you.

GOVERNING LAW AND DISPUTE RESOLUTION

These Terms shall be governed by and construed in accordance with Nigerian law.

All dispute arising out of or in connection with this Agreement shall first be referred by the parties to their respective nominated representatives for resolution. If following such referral, the dispute is not settled within a maximum of thirty (30) days; such dispute shall be submitted to a court of competent jurisdiction in accordance with the laws of the

I Accept Terms & Conditions

Account Holder/Investor's Signature

Account Holder/Investor's Signature